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<p>(54) Title: THE PRODUCTION OF MICROSTRUCTURES FOR USE IN ASSAYS</p> <p>(57) Abstract</p> <p>A process for the production of a device having a surface microstructure of wells or channels, comprises one or more steps of screen-printing the microstructure as a curable material onto a plastics substrate, and curing the material. Such a device may also be obtained by applying onto a substrate a material that is polymerisable or depolymerisable by irradiation, applying a negative or positive photoresist respectively, irradiating the structure and removing the unpolymerised or depolymerised material.</p>		

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THE PRODUCTION OF MICROSTRUCTURES FOR USE IN ASSAYS

Field of the invention

This invention relates to the fabrication of microstructures for use in assays.

Background of the Invention

5 There is considerable interest in the production of microstructures for the testing and quantitation of species, for the monitoring of binding reactions between molecules, for the manufacture of micro-fluidic systems for drug discovery, for genetic analysis and for clinical diagnostic assays. In particular, there is increasing interest in the development of smaller micro-plates for chemical synthesis, for combinatorial chemistry, for high
10 throughput screening assays, and for binding reactions. The traditional 96-well microtitre plate is being superseded by systems in which there are a greater number, e.g. up to 2304, wells on a plate; see Lemmo *et al*, Anal. Chem. (1997) 69:543-551.

 Plates with greater numbers of small wells have considerable advantages, including the use of lower amounts of expensive reagents and the greater number of
15 reactions that can be screened on one plate, thus reducing costs and maximising throughput. It is also desirable to produce microstructures for valving and pumping liquids on the same device.

Summary of the Invention

 According to one aspect of the present invention, a method for the manufacture
20 of a microstructure comprises the use of screen-printed layers over a sheet material. According to a second aspect of the invention, a microstructure is obtained by photoresist technology.

 The methods of the invention allow the production of microstructures, on a plastics substrate, in large volume at low cost. Depending on the technique that is used,
25 they allow the construction of microstructures having resolution down to 3-5 μm . In one particular embodiment, the microstructure is in the form of a microtitre plate array, into which chemicals, reagents or other materials are dispensed for the carrying out of reactions of interest to the pharmaceutical and diagnostic industry. A device made by this method may be used for analysis of liquid samples, for the detection of binding events
30 between binding partners, for drug discovery applications and for combinatorial chemistry and other reactions.

Description of the Drawings

In the accompanying drawings:

Figure 1 is a schematic plan view, partially enlarged, of a multi-well device that may be produced by the invention;

5 Figures 2, 3 and 4 are each schematic plan views of further devices that may be produced by the invention; and

Figures 5, 6, 7 and 8 are schematic sectional views of channels within such devices.

Description of the Invention

10 Typically, a device of the invention comprises a pattern, e.g. of wells or channels, laid on a non-conducting substrate such as polyester, polypropylene, polycarbonate or polyvinyl chloride or a glass or ceramic. This layer may be treated to make it suitably hydrophobic, if required.

15 If screen-printing is used, successive layers of screen-printable layers are built up on the sheet material, to give the required pattern(s). The materials are selected to give the appropriate resistance, surface energy or surface tension characteristics needed for the reaction being carried out in the wells formed by the patterns. For example, for combinatorial chemistry reactions in which reactions are to be carried out in highly aggressive solvents (e.g. THF, DMF, NMP, DMSO, xylene or dioxane), the printable
20 layers may partly comprise gel-sol systems, cross-linked epoxy resins or other chemically or UV cross-linked polymers that are known to be resistive to solvent. For applications in the high throughput screening area, or for screening receptor binding reactions, the systems in use are aqueous; in this case, the inks are advantageously selected to give the correct surface tension and wettability factors; resistance to solvents is less important.
25 The substrate may also be selected to give the required resistance characteristics or ability to attach proteins or other binding factors.

By using screen-printing, the resolution of the pattern may be down to 50-70 μm . Thus, the diameter of each well can be down to 50-70 μm . For example, wells are 50-500 μm in diameter; they may be 5-100 μm deep.

30 In an alternative embodiment, microstructures having a resolution of as low as 3-5 μm can be formed in a screen-printed insulation layer by a photoimaging process.

In this process, the structure is made by photoimaging, including the use of substances that can be polymerised by the application of light or those that can have their bonds broken by the application of light. These light-sensitive materials are also known as photoimageable resists. Their use provides a low cost, convenient process for the
5 fabrication of microstructures, in a plastics substrate, having properties for these applications comparable to those made by processing on glass.

In a typical application of the process, the device comprises a pattern laid on a non-conducting substrate which may be treated to make it hydrophilic, if desired. A photoimageable hydrophobic layer, such as a photoimageable negative resist, is deposited
10 over the substrate material by a process such as vapour deposition, silk screen printing, spin coating or lithographic printing.

An image of the required pattern is held at the surface of the device, and the system is then exposed to a suitable light source for an appropriate period. The photoimageable layer is then photopolymerised by the light source in those areas that are
15 exposed. In areas that are covered by the mask, the photoimageable layer remains unpolymerised. The mask is therefore designed to cover those areas of the resist that are to be dissolved away by the developer in the next step.

The device is then exposed for an appropriate period to developing solution which dissolves away those areas where the photoimageable layer has not polymerised,
20 to reveal the layer below. In this manner, a regular pattern can be constructed.

In another embodiment of the invention, the same substrate is used but with a positive photoimageable resist (in this case, the bonds between molecules in the resist are broken when the light is applied). In this case, the positive resist is applied to the previous layer by the chosen process and a mask is placed over the coated device. The
25 mask is designed to expose those areas of the resist that are to be dissolved away by the developer in the next stage. The assembly is exposed to the light source and those areas of the resist exposed to the light have inter-molecular bonds broken by the light, thereby rendering them soluble in the developing solution used in the next stage. Unexposed areas of resist remain unaffected and resistant to the developer. Thus, when the device
30 is then exposed to the developing solution, the resist is dissolved away by the developer in the exposed areas, to reveal the pattern.

An alternative method of fabricating the device involves the use of dry freestanding photoimageable film resists, e.g. 5-50 μm . A pattern of these film resists, as described by the above procedures, may be formed on the substrate.

5 In all these processes, resists are chosen that are capable of being developed in mild solutions (aqueous or non-aqueous) that will not damage other exposed areas of the device. Alternatively, masks can be applied to the devices to protect very sensitive parts of the devices from the developing solutions.

Several thousand devices can be fabricated at once. Thus, the process is suitable for high volume-low cost production which is required for many industrial and healthcare applications. The devices can be sufficiently cheap to produce that they can be used once and discarded, thereby preventing carry-over or memory problems associated with reuse.

15 Devices fabricated according to the invention have several characteristics that are desirable for their use in the analysis of species. For example, a regular pattern can be produced of uniform size and shape. The pattern can be of any shape, including circles, squares, rectangles or bands. Further, various materials can be used for the substrate underneath the screen-printed layers, including treated plastics and plastic/metal laminates. These layers may be modified to enhance the attachment of additional molecules, as described below.

20 Once the pattern has been formed, further processing may be used to further modify the pattern for analysis, including deposition or bonding or absorption of metals, proteins, enzymes, catalysts and specific binding partners such as antibodies, antigens, DNA or RNA, avidin, biotin or gene probes. These may be deposited by a number of processes, including ink-jet printing, electroplating, electro-deposition and micro-dispensing.

25 Analysis of the binding events in the pattern may be carried out by, for example, fluorescence, light scattering or absorption analysis. The light output may be read either from above or, if a transparent substrate is used, from below.

A coverslip may be placed over the device. This may assist flow through the device, e.g. by capillary action or diffusion.

30 The method of the invention may be used for the manufacture of micro-electrophoresis analysis structures. These structures may be used for the manipulation

of biological cells, to promote fusion of cell lines, to promote lysis of cells to extract cell components such as proteins, DNA plasmids or genomic DNA, or to separate cell components. Potential gradients may be set up between electrodes on the device to effect separation of cell components.

5 Any of a variety of microstructures may be obtained. For example, the pattern may be configured to achieve the separation of DNA for analysis by, for example, the rupturing of the cells, the precipitation of lipid and protein components, and the analysis of the DNA at another site on the pattern.

10 In another embodiment, chambers and structures can be made of the same order as the size of a cell, to achieve filtration, cell lysis and cell sorting. This is of importance in cell cytometry and haematology. Electrophoresis between electrodes fabricated in the structure may be used to achieve fusion of cell lines, separation of cell contents, DNA/RNA separation and protein separation.

15 The patterns may also be used in conjunction with techniques for the movement of fluids in micro-patterns such as ultrasonic waves propagating on thin membranes *via* acoustic streaming or electro-osmotic pumping of cells within a micro-fluidic system.

20 The patterns may also be configured for the formation of small reaction chambers or reaction sites/wells in which binding reactions occur, between ligands and their binding partners, which can be monitored. Examples are combinatorial chemistry, binding between proteins and receptors, and binding reactions between RNA/DNA in the sites and complementary gene sequences in solutions. The latter will be applicable to gene mapping and diagnostics.

25 In another embodiment, the microstructure is a micro-array in which DNA/RNA is attached to the array to analyse or sequence the DNA/RNA in a sample. Binding between sample DNA/RNA may be monitored by fluorescence, luminescence or another analysis technique.

In another embodiment, the structures contain whole cell monolayers which are used for assays. The cells are attached by absorption, adsorption, covalently or by another method to surfaces within the device.

30 By way of general example, a microtitre plate is constructed of an array of micro-wells formed by screen-printable layers placed on a polypropylene or other plastics sheet.

The wells are down to 50 μm in diameter, but preferably are of the order of 1-0.5 mm in diameter. Several thousand wells are arranged on each micro-plate. The depth of each well formed by the layers is in the range 5-100 μm . Several layers of screen-printable reagents may be added to increase the depth of the wells to several hundred μm . The wells are used for reactions suitable for use in the pharmaceutical or diagnostics industry with reagents dispensed into the wells. The wells are read by any of the methods currently used for the monitoring of reactions in the industry, including ELISA, fluorescence binding, luminescence and light scattering.

Chemical amplification reactions such as the Polymerase Chain Reaction (PCR) and the Ligase Chain Reaction (LCR) may be performed on microchannel structures printed on polymeric substrates including polypropylene, polystyrene, polyester, polycarbonate and polytetrafluoroethylene using a UV crosslinkable ink.

Fig. 1 shows a multiwell array. Fig. 2 shows a channel structure comprising sample/buffer reservoirs 1, e.g. 0.5-3 mm in diameter (1d), and a reaction/mixing chamber 2 connected *via* a channel, e.g. 0.05-0.5 mm wide (3d), with a collection/waste reservoir 4.

In one embodiment of the invention, the microchannel is patterned, by the use of a photomask, onto a UV crosslinkable polymer layer such as Carapace from Electra Polymers, deposited onto the polymeric substrate. After exposure to UV light, the microstructures are developed by treating the plastic sheet with an aqueous sodium carbonate solution. The microchannel structure may be laid over conducting layers, either screen-printed or of laminated conductive layers, to provide temperature control along the microchannel. Alternatively, the microchannel may be screen-printed. Such an embodiment is shown in Fig. 3, and includes thermostatted conductive layers 5, inlet ports 6 and an outlet port 7.

Separation procedures such as electrophoresis and chromatography may be performed on microchannels fabricated on polymeric substrates by screen printing and photolithographic methods. Surface properties of printed microchannels may be altered or modified by chemical means to produce a variety of chemical species including anionic, cationic and functionally-reactive groups on the inner walls of the channels.

In one embodiment, residual epoxide groups on the inner walls of the printed microchannel may be reacted with a bifunctional molecule, typically a primary amine and a carboxyl group separated by one or more methylene groups to produce a predominantly negatively-charged surface. Negative charge may also be introduced by passive
5 adsorption of surface active agents such as polymers and surfactants. Electrophoretic separations may the be performed by application of a voltage across the separation channel.

In another embodiment, modification of the microchannel is achieved by printing a thin layer of, say, an epoxy polymer resin or functionalised vinyl resin containing silica
10 microparticles on the plastics substrate, to provide a hydrophilic and charged surface. The sides of the channel can be printed with the same polymer composition.

In another embodiment, chromatographic separations including affinity and ion exchange chromatography may be performed by covalent attachment of ligands and ionogenic groups to the inner walls of the microchannel. Ligands may comprise
15 antibodies, antigens, enzymes, substrates, hormones, receptors and synthetic organic molecules. Alternatively, microchannels may be filled with stationary separation media including naturally occurring polymers such as agarose, starch and cellulose, and synthetic chromatographic media such as polyacrylamide, polystyrene-divinylbenzene and silica. These gel-filled microchannels may be used to perform "nanoscale" separations
20 using conventional chromatographic techniques.

A typical microchannel structure of this type is illustrated in Fig. 4. The channel 8 is, for example, 0.05-0.5 mm wide. It may contain charged species, a ligand L or a stationary phase SP; see Figs. 5 and 6.

In a further embodiment, structures designed for liquid handling/manipulation
25 may be fabricated within the microchannel using screen-printing and/or photolithography. Such structures include frits, filters, valves and pumps. For example, Fig. 7 shows, within a microchannel, a filter 9, a stationary phase 10 and a frit 11. Analogously, Fig. 8 shows a magnetic particle 12 and a valve that is open (O) or closed (C).

The devices may be used with a small portable instrument. In this manner, a
30 complete portable system may be constructed for field analysis of species, or for the

analysis of samples in a GP's office or on a hospital ward. The output from the instrument is related to the level of the analyte in solution.

The device may also include a sample cell or porous layer to wick a sample onto the device from a container. The sample cell or porous layer may also contain buffers and/or other reagents to pre-treat the sample before it arrives at the device patterns. For instance, the sample cell or porous layer may include a buffer salt or surfactant to assist in the flow of plasma or whole blood. An assay device in accordance with this aspect of the invention may be used as a disposable unit which is plugged into an instrument for reading.

The following Examples illustrate the invention.

Example 1

Arrays of microwells of dimensions 300-500 μm in diameter and 100 μm deep were screen-printed with a layer of dielectric material (Carapace from Electra Polymers and Chemicals Ltd, Kent, UK) on a plastic sheet made of polypropylene or polystyrene, to provide a plate of 9600 wells with a footprint similar to a 96-well microtitre plate. The printed arrays are cured at 80°C for several hours. The depth of the wells can be increased by printing additional layers of dielectric material. The wells can then used to carry out binding reactions with reagents dispensed into the wells. Monitoring the reaction in the wells takes place by sensitive techniques including fluorescence and luminescence.

Example 2

Arrays of microwells, 20-25 μm in diameter, were patterned onto a UV-crosslinkable polymer layer (Carapace) deposited onto a plastic sheet by the use of photomasks. After exposure to UV light, the wells were developed by treating the plastic sheet with an aqueous sodium carbonate solution.

Example 3

A sheet of polypropylene 500 μm thick (Pripak, from Adhesive & Display Products, Northampton, UK) was printed with a layer, approximately 50 μm thick, of a UV-crosslinkable ink (Carapace). The microchannel structures were exposed onto the layer by using a positive photomask. The unexposed channels were stripped with a sodium carbonate solution. The microchannel structures correspond to two crossed linear

channels 1.5 and 4 cm in length respectively. The channels were 100 μm wide and 40-50 μm deep. At the termini of the channels, holes 3 mm in diameter were drilled as sample, buffer and collection reservoirs respectively. The channels were covered with an adhesive-backed Mylar sheet of thickness 250 μm .

- 5 Coloured dyes were injected at one port and observed to flow through the channels by capillary action. Such a circuit can be used in conjunction with electrodes inserted into reservoirs formed by connecting tubing to the four holes at the ends of the channels, for the electrokinetic control of both the flow rate and flow direction of species in the channels. Alternatively, the conductive electrodes can be printed at the base of the
- 10 holes by screen-printing conductive inks.

CLAIMS

1. A process for the production of a device having a surface microstructure of wells or channels, which comprises one or more steps of screen-printing the microstructure as a curable material onto a plastics substrate, and curing the material.
- 5 2. A process for the production of a device having a surface microstructure of wells or channels, which comprises applying onto a substrate a material that is polymerisable or depolymerisable by irradiation, applying a negative or positive photoresist respectively, irradiating the structure and removing the unpolymerised or depolymerised material.
3. A process according to claim 1, wherein the wells or channels are least 50 μm
10 wide.
4. A process according to claim 2, wherein the wells or channels are at least 3 μm wide.
5. A process according to any preceding claim, wherein the device comprises more than 100 wells.
- 15 6. A process according to any preceding claim, wherein the device comprises wells that are 50 to 500 μm in diameter.
7. A process according to any preceding claim, wherein the device comprises wells that are 5 to 300 μm deep.
8. A process according to any of claims 1 to 4, wherein the device comprises
20 channels, and which additionally comprises covering the channels.
9. A process according to claim 8, which additionally comprises forming reservoirs at termini of the channels.
10. A process according to claim 9, which additionally comprises the insertion of electrodes in the reservoirs.

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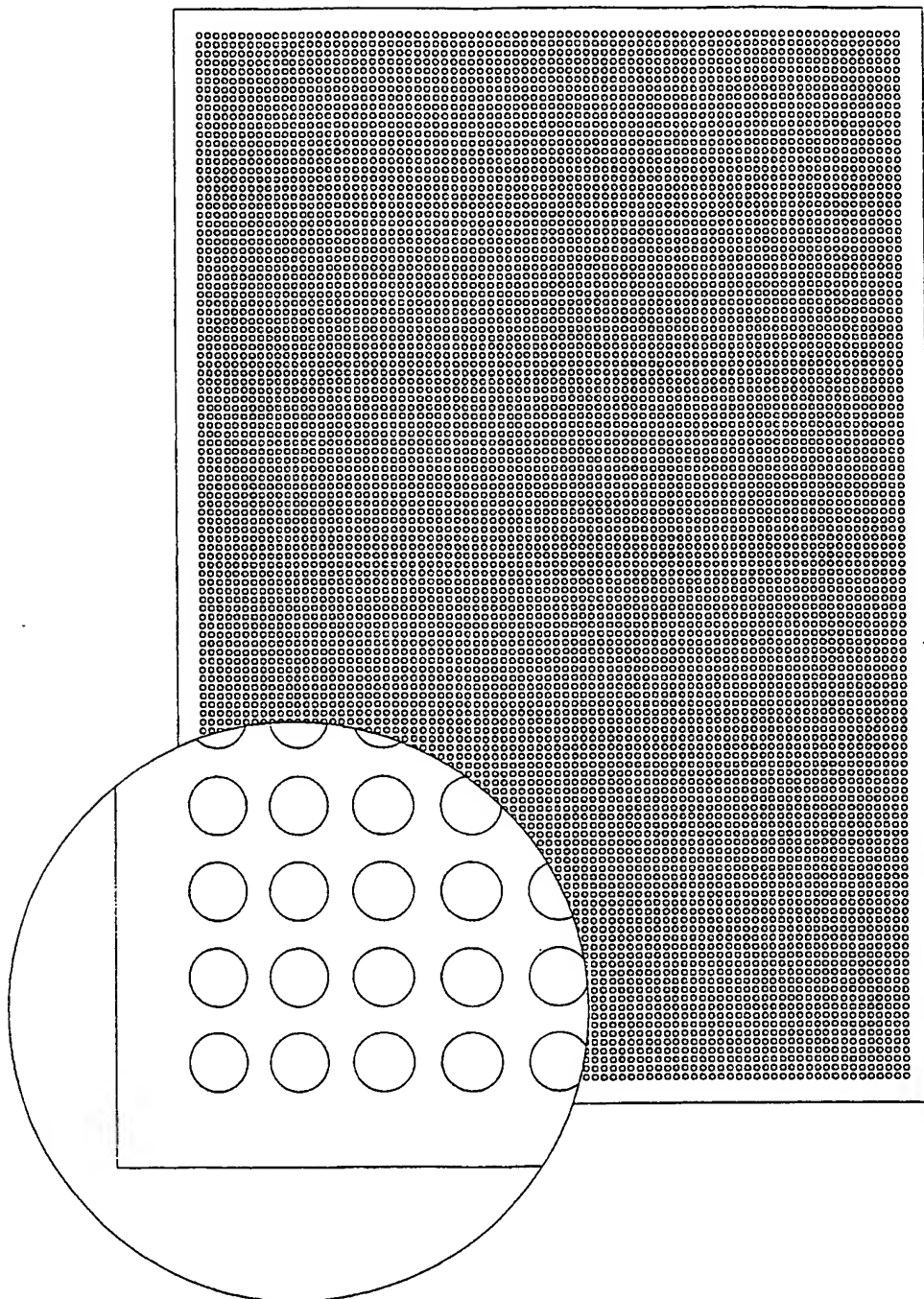


FIG. 1

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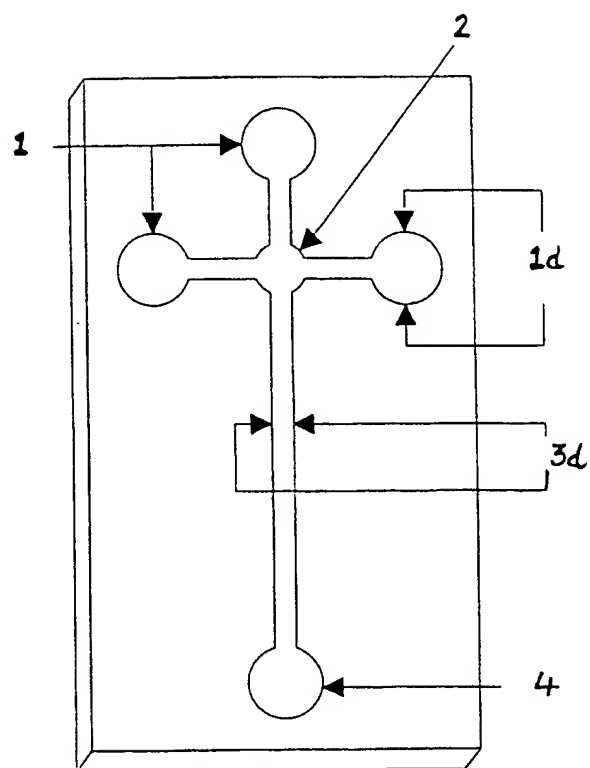


FIG. 2

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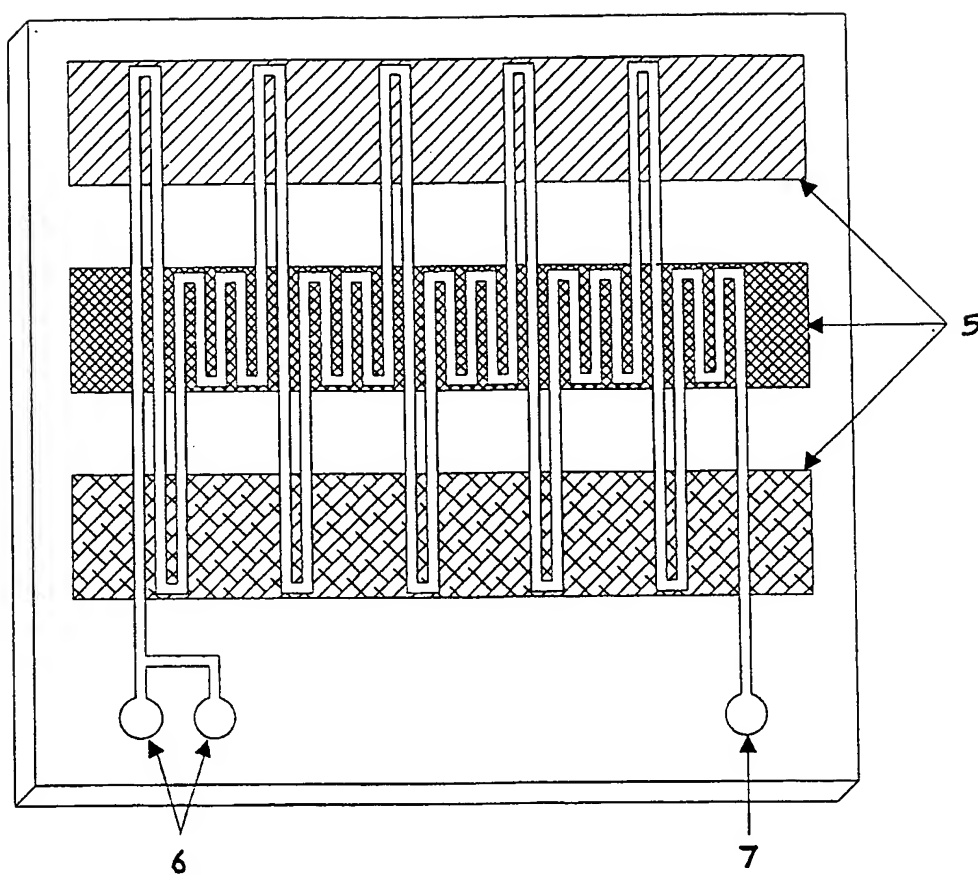


FIG. 3

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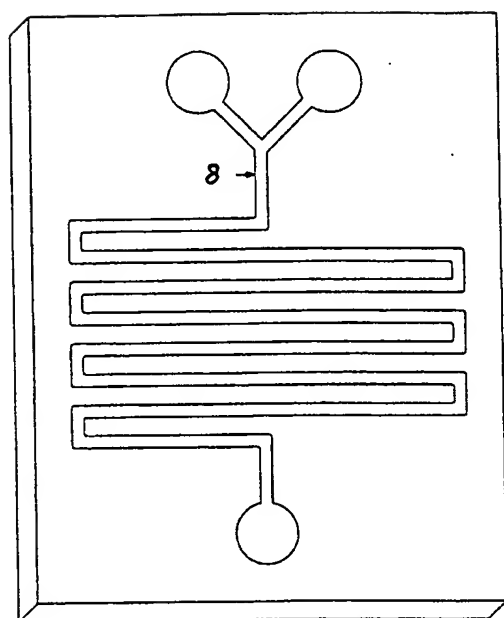


FIG. 4

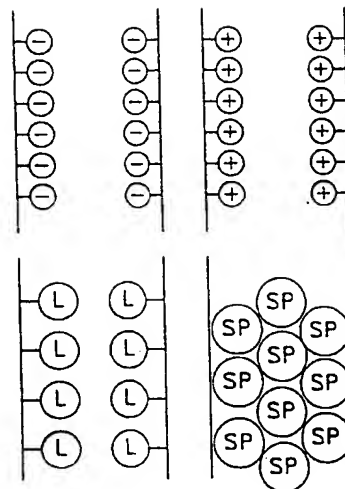


FIG. 5

FIG. 6

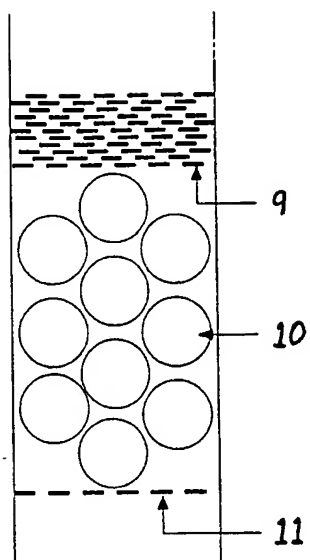


FIG. 7

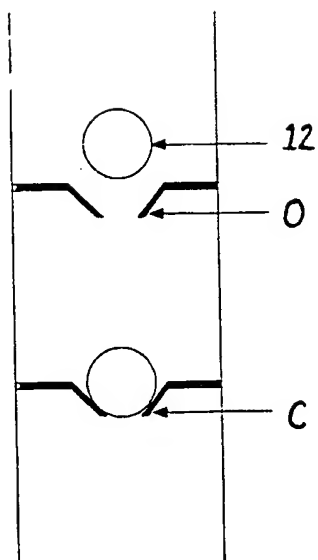


FIG. 8

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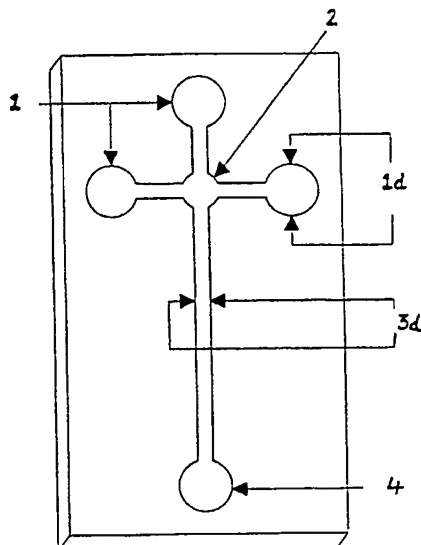
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(54) Title: THE PRODUCTION OF MICROSTRUCTURES FOR USE IN ASSAYS



(57) Abstract

A process for the production of a device having a surface microstructure of wells or channels, comprises one or more steps of screen-printing the microstructure as a curable material onto a plastics substrate, and curing the material. Such a device may also be obtained by applying onto a substrate a material that is polymerisable or depolymerisable by irradiation, applying a negative or positive photoresist respectively, irradiating the structure and removing the unpolymerised or depolymerised material.

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INTERNATIONAL SEARCH REPORT

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A. CLASSIFICATION OF SUBJECT MATTER

IPC6: B41M 1/12, G03F 7/26 // B01L 3/00, G01N 21/00, G01N 33/487
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B. FIELDS SEARCHED

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QUESTEL: WPIL, JAPIO

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Derwent's abstract, No 90-151393/20, week 9020, ABSTRACT OF JP, 2095258 (TOPPAN PRINTING CO LTD), 6 April 1990 (06.04.90), & JP 2095258, Vol 14-301 --	1,3,5-7
A	EP 0471628 A1 (GTC CORPORATION), 19 February 1992 (19.02.92), column 2, line 38 - line 49; column 6, line 8 - line 39 --	1,3,5-10
A	US 5035939 A (EDWARD J. CONLON ET AL), 30 July 1991 (30.07.91), column 2, line 38 - column 3, line 24, figures 1,2 --	1,3,5-10



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"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

26 February 1999

Date of mailing of the international search report

22.03.1999

Name and mailing address of the ISA/



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Authorized officer

Benqt Christensson

INTERNATIONAL SEARCH REPORT

International application No. --

PCT/GB 98/02161

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 9103375 A1 (DAVID SARNOFF RESEARCH CENTER INC), 21 March 1991 (21.03.91), page 3, line 26 - page 4, line 4 --	1,3,5-10
X	US 5755942 A (PETER JOHN ZANZUCCHI ET AL), 26 May 1998 (26.05.98), column 2, line 23 - line 44; column 4, line 28 - line 62; column 6, line 59 - column 7, line 19, figures 1A,1B,2 --	2,4,5-7
A	DE 19545130 A1 (CAMMANN, KARL), 5 June 1997 (05.06.97), column 9, line 40 - column 10, line 12; column 21, line 20 - line 59, figure 1 -- -----	2,4,5-7

INTERNATIONAL SEARCH REPORT

Inten. onal application No.

PCT/GB 98/ 02161

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

- I. Claims : 1, 3, 5-10 (partially)
- II. Claims : 2, 4, 5-10 (partially)

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☒ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

I. Claims 1, 3 and those parts of claims 5-10 that relate to claims 1 and 3, describe production of a microstructure of wells or channels which comprise one or more steps of screen printing. The "special technical features" are considered to be screen printing the microstructure.

II. Claims 2, 4 and those parts of claims 5-10 that relate to claims 2 and 4, describe production of a microstructure of wells or channels which involves applying a photoresist. The "special technical features" are considered to be microstructure by applying a photoresist.

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INTERNATIONAL SEARCH REPORT
Information on patent family members

02/02/99

International application No. —

PCT/GB 98/02161

Patent document cited in search report			Publication date	Patent family member(s)		Publication date
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				JP	4094115 A	26/03/92

US	5035939	A	30/07/91	WO	9103375 A	21/03/91

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US	5755942	A	26/05/98	AU	4152396 A	06/06/96
				AU	4233796 A	06/06/96
				CA	2204912 A	23/05/96
				CA	2205066 A	23/05/96
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				WO	9615450 A	23/05/96
				WO	9615576 A	23/05/96

DE	19545130	A1	05/06/97	WO	9721095 A	12/06/97
